



Grant Thornton

An instinct for growth™

Prudential Risk

Financial Services Advisory



Why Grant Thornton?

Prudential regulation continues to drive the strategic agenda for banks and investment firms. Understanding the parameters of regulatory change and meeting the ever expanding set of obligations is an issue for banks and investment firms. New rules and reporting requirements can come from global, regional and national policy setting bodies.

At Grant Thornton, our Prudential Risk team within Financial Services Advisory can support you with these challenges. Our experienced team work closely with your finance compliance and risk teams to ensure that your supervisory engagement is of the requisite quality.

We are immersed in the financial services regulatory environment. Our dedicated Prudential Risk team consists of fifteen experienced professionals with backgrounds including former regulators, experienced practitioners and seasoned consultants. Our team are uniquely suited to assisting you with any interactions with regulatory authorities, building solutions or enhancements to meet new regulatory requirements whilst ensuring demonstrable improvements to provide assurance to the regulatory authorities.

Our services



Interpretation

We provide a clear interpretation of the reporting rules and how they apply.



Regulatory reporting

We help clients to build the processes and documentation that will provide an integrated holistic approach to regulatory reporting.



Regulatory authorisations

We support clients with licence applications, amendments to existing licences and acquiring transaction notifications.



Recovery and resolution plans

We support our clients, based on our extensive experience of recovery and resolution plans, we can draft, review and update their plans.



Remediation

We support clients to remediate issues following Joint Supervisory Teams (JST)/Central Bank of Ireland inspections and findings.



Data quality

We have developed overarching processes and controls to support data accuracy and appropriate controls over regulatory submissions.



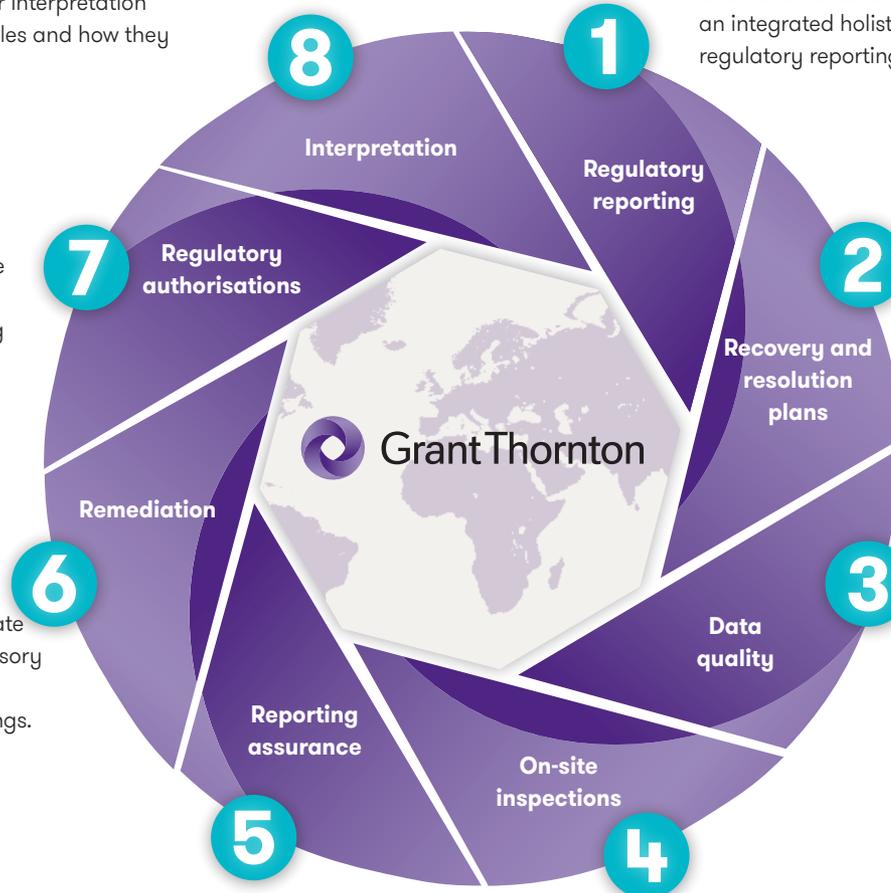
Reporting assurance

We provide reviews of regulatory returns to assure that reports are being compiled correctly.



On-site inspections

We support our clients in preparation of regulator visits or delivery follow up, eg support delivery of risk mitigation plans imposed by the Central Bank of Ireland or European Central Bank.



Key contacts for our dedicated Prudential Risk team include:



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